

Source levels of Antarctic blue whale calls measured during the 2013 Antarctic blue whale voyage: preliminary results

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ABSTRACT

Determination of the distances over which whale calls may be detected depends on a number of factors that can interact in complex ways. The factors that determine these distances are the source level of the call, the propagation loss, and the sensitivity of the detector. The source level of the call is effectively a measure of the intensity of the sound measured at the animal. The propagation loss is attenuation that occurs as the call radiates, refracts and reflects throughout the environment. The sensitivity of the detector is the ability to discriminate the call from noise. Acoustic detection was used to locate blue whales during the 2013 Antarctic Blue Whale Voyage of the SORP. Here we present preliminary estimates of source levels of Antarctic blue whale song and propagation loss measured during this voyage. We also highlight additional data collected that could be used for further measurements of source level and propagation loss. Measurements of source level and propagation loss are not only important to determine the detection range of sonobuoys deployed during voyages, but are also essential if acoustic data are to be used for abundance estimation via distance estimation (eg. point-transects).

Keywords: Blue whale; SORP; passive acoustics;

INTRODUCTION

Background

Passive acoustic monitoring (PAM) has formed an important part of Antarctic blue whale research since at least 1996 (Ljungblad et al. 1998, Rankin et al. 2005). Presently there are two ongoing IWC-SORP research programmes that use PAM to study Antarctic blue whales: the Antarctic Blue Whale Project (Hammond et al. 2013) and the Acoustic Trends Project (“SORP Acoustic Trends Project” 2014).

Under certain circumstances PAM can be used to estimate the density of whales; however this typically requires knowledge of the source level (ie. intensity) of whale vocalisations and/or the distance over which these vocalisations can be detected (Thomas & Marques 2012). Additionally some knowledge of the typical acoustic behaviour of the whales is required (eg. Oleson, Calambokidis, Burgess, et al. 2007, Oleson, Calambokidis, Barlow, et al. 2007). For Antarctic blue whales, knowledge of source levels and detection distance is sparse and contained in a small number of studies (Sirović et al. 2007, Samaran, Guinet, et al. 2010, Samaran, Adam, et al. 2010). Here we supplement these data on source levels and acoustic propagation of Antarctic blue whales calls with a preliminary analysis of the acoustic and visual data collected during the 2013 SORP Antarctic Blue Whale Voyage (ABWV).

Estimation of Source Level and Propagation Loss

The level of an acoustic source (ie. a whale call) can be determined using the sonar equation:

$$RL = SL - PL \quad (1)$$

where SL is the source level, RL is the received level (including in-band noise), and PL is the propagation loss (Urick 1983). Accurate estimates of source level depend upon accurate knowledge of propagation loss, which in turn requires accurate knowledge of the location (in three dimensions) of the source, receiver, and propagation paths. Once the source and receiver locations are known, the propagation loss can be estimated using an acoustic propagation model suited to the particular propagation path(s).

42 **Acoustic propagation in the Southern Ocean**

43 Acoustic propagation in polar regions is different than in mid-latitudes or tropics (Urick 1983). The Southern
44 Ocean has a relatively stable, uniform hydrographic regime, at least at high-latitudes in the open ocean
45 environment where stratification is generally stable and without strong fluctuations. While seasonal noise
46 levels have been measured in the Antarctic and appear to be somewhat predictable (eg. Gedamke et al. 2007),
47 there are still relatively few empirical estimates of acoustic propagation loss available in the scientific literature
48 (Širović et al. 2004, Sirović et al. 2007).

49 Sirović et al., (2007) estimated propagation loss of blue whale calls in the Antarctic from a whale calling at a
50 shallow depth and hydrophones moored in approximately 3000 m of water. Using a relatively simple model of
51 propagation loss Sirović et al., (2007) found their measurements best fitted a model of the form
52 $PL=10 \cdot \log_{10}(r^{1.78})$, where the range, r , was the horizontal distance between the hydrophone and acoustically
53 located whale call. A plausible physical interpretation of this result is that propagation losses from a shallow
54 source to a bottom-mounted receiver followed spherical spreading (ie. an inverse square law: $PL=10 \cdot \log_{10}(r^2)$),
55 but with slightly reduced losses possibly due to multipath from the sea-floor and surface.

56 While Sirovic et al. (2007) measured propagation loss in the Antarctic from a shallow source to a deep receiver,
57 it has been suggested that most of the energy from sounds produced from a shallow source are likely to be
58 retained in a surface duct (Urick 1983, Hall 2005). Thus acoustic propagation between a shallow source and
59 shallow receiver (such as a sonobuoy) would be better represented by a different model than that used by
60 Sirovic et al. (2007). A simple model for propagation loss in a surface duct can be written as:

$$PL = \begin{cases} 10 \log_{10} r_0 + 10 \log_{10} r & \{ r > r_0 \\ 20 \log_{10} r & \{ r \leq r_0 \end{cases} \quad (2)$$

61 In this model the quantity r_0 represents an initial range over which spherical spreading occurs (ie. the height of
62 the surface duct), and the quantity $10 \cdot \log_{10}(r)$ represents cylindrical spreading losses at ranges $r > r_0$ (Urick
63 1983). By substituting equation (2) into equation (1), we obtain a simple equation for propagation loss in the
64 Antarctic surface duct that can be expressed as:

$$SL = RL + 10 \log_{10} r_0 + 10 \log_{10} r + \epsilon \quad (3)$$

65 where ϵ represents an error term that encompasses both process and measurement error. The parameter r_0
66 can be visualized as the height of the surface duct. Here we also assume that noise levels are much less than
67 the received levels, and thus are not included in the model. While spherical and cylindrical propagation losses
68 represent idealized scenarios, these relatively simple equations have straightforward physical interpretations.
69 Thus, many empirical studies of propagation loss use these two scenarios as a baseline for comparisons.
70 Additionally, these simple models of propagation loss are often the most appropriate when the locations of
71 the sound source and/or receivers are not precisely known.

72 **Estimating source and receiver location**

73 Accurate and precise models of acoustic propagation require not only accurate knowledge of the physical
74 environment, but also accurate and precise knowledge of the locations of the sound source and receiver(s)
75 (Urick 1983). In the case of blue whales, source locations are not always known very precisely, but rather
76 estimated from acoustic localisations (Thode et al. 2000, Sirović et al. 2007, Samaran, Guinet, et al. 2010,
77 Gavrilov et al. 2011) or visual location methods (eg. McDonald et al. 2001).

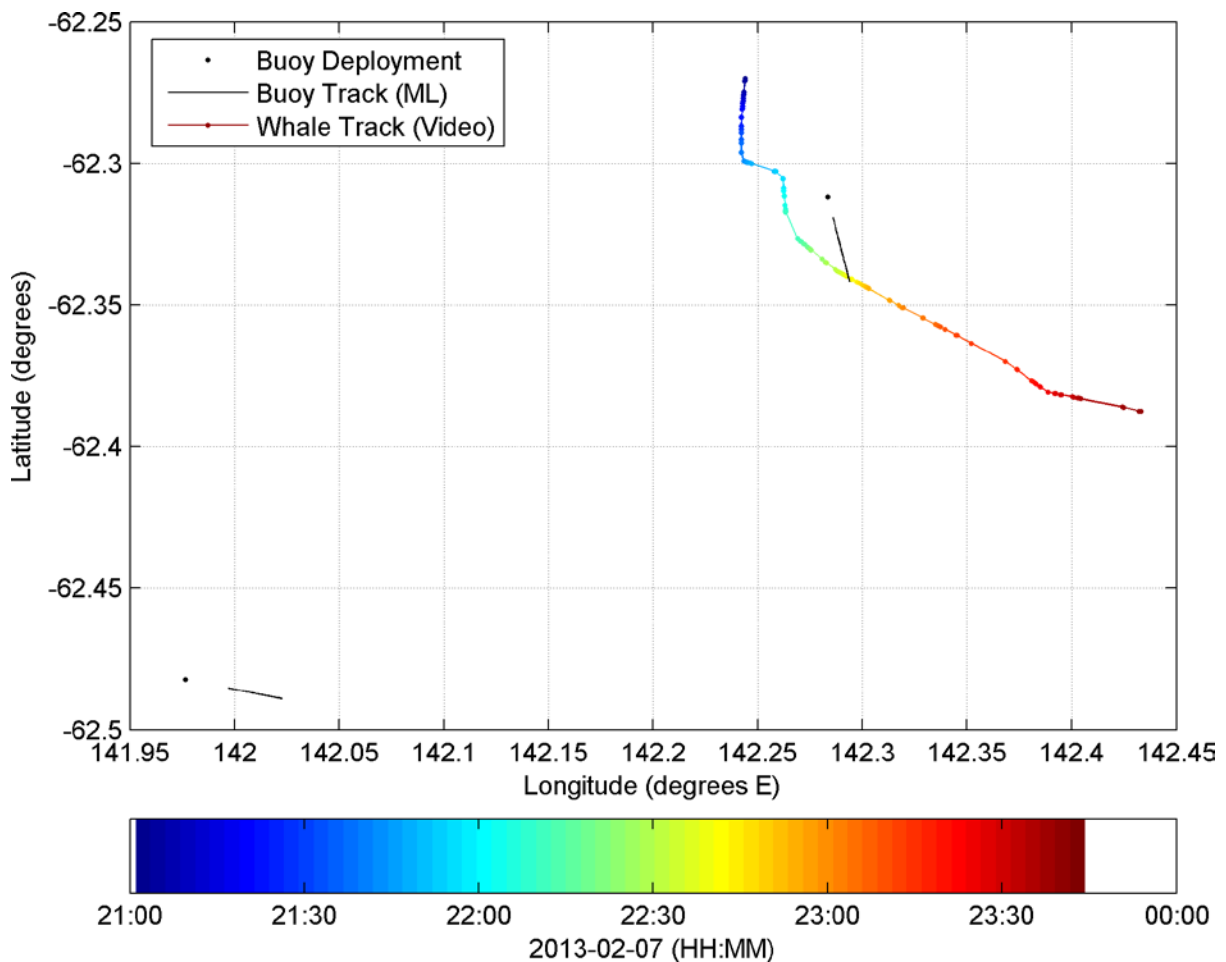
78 During the 2013 Antarctic Blue Whale Voyage both acoustic and visual (ie. sightings when a whale is at the
79 surface) localisation methods were used to estimate the location of calling whales (Double et al. 2013, Miller
80 et al. 2013). Additionally, during a few encounters whales at the surface could be precisely localised using
81 photogrammetric video tracking (Leaper & Gordon 2001). Here we describe methods to estimate source levels
82 and propagation loss using these highly precise photogrammetric tracks. We then explore the potential to
83 derive estimates of source level and propagation loss using the entire set of acoustic detections and visual
84 sightings.

85 **METHODS**

86 All data were collected during the 2013 Antarctic Blue Whale Voyage and the specific details of data collection
87 can be found in the voyage plan (unpublished) and cruise reports (Double et al. 2013, Miller et al. 2013). We
88 consider only a subset of the acoustic detections during the voyage, specifically those calls comprising
89 Antarctic blue whale song, or 'Z'-calls. More specifically, we only consider the first tonal unit, which has been
90 referred to as Unit A (Miller et al. 2013).

91 **Acoustic data sets**

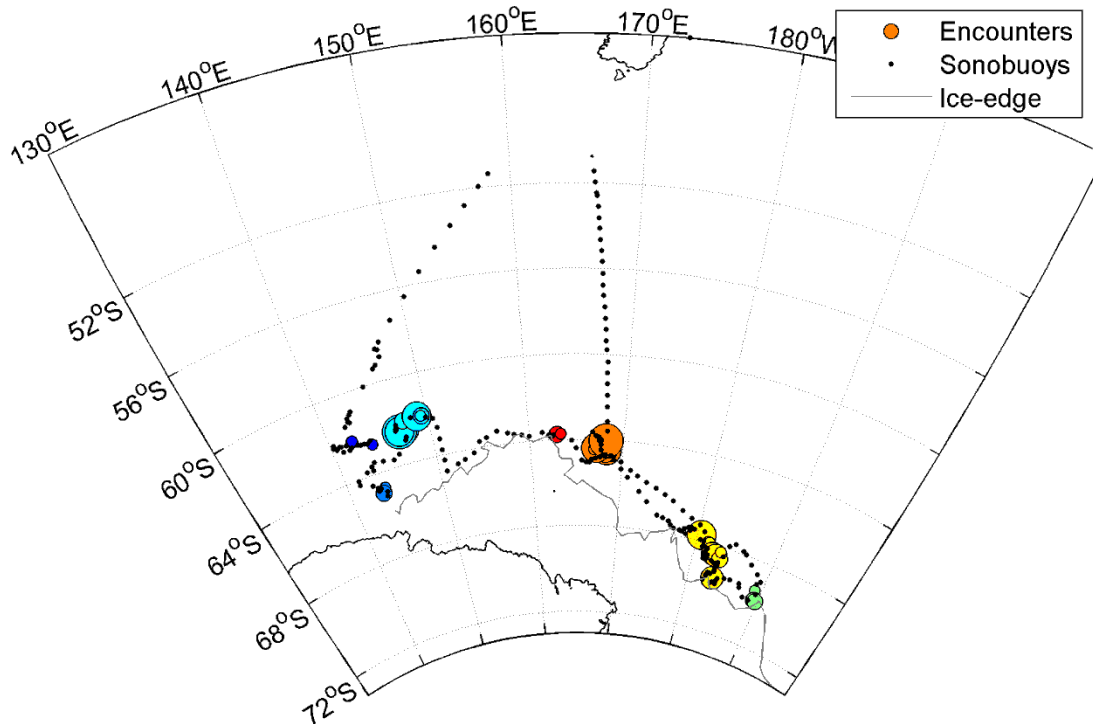
92 For this study of source level and propagation loss, we divided the data from the voyage into two different
93 sets. The first data set involved a single encounter in which a singing Antarctic blue whale was both precisely
94 located via photogrammetric video tracking and acoustically recorded with two DIFAR (directional) sonobuoys.
95 These data are also presented in Miller, Leaper, et al. (2014) and Miller, Wotherspoon, et al. (2014). This data
96 set represents the most precise data both in terms of whale and receiver locations, and can be thought of as
97 providing a basic test of the validity of the methodology used to estimate source levels. Furthermore, this data
98 set follows relatively straightforward and well-established methods for the estimation of source level from
99 accurate visual sightings (eg. McDonald et al. 2001). We refer to these data as the *Fine-Scale* data set (Figure
100 1).



101
102 Figure 1 - Photogrammetric video track and acoustic detections comprising the *Fine-Scale* data set. Coloured
103 symbols correspond to the source location of acoustic detections interpolated from the photogrammetric
104 track. Black dots indicate the deployment location of sonobuoys, and black lines indicate the track of the
105 sonobuoys throughout the duration of the video track.

106 The second data set consisted of all of the acoustic detections that occurred on sonobuoys that were further
107 than 30 km from a visual sighting. We refer to these data as the *Broad-Scale* data set (Figure 2). The *Broad-*
108 *Scale* data set was similar to that presented in (Miller et al. 2013), but without acoustic detections that
109 occurred during close-range targeting and monitoring. No attempt was made to estimate sonobuoy drift, nor

110 was there an attempt to estimate movement of visually sighted whales. Thus, the precision of source and
111 receiver locations as well as the synchronicity between visual sightings and acoustic detections was potentially
112 lower for the *Broad-Scale* data set than for the *Fine-Scale* data set in absolute terms (Miller et al. 2013).
113 However, it was more likely that the relative precision of source and receiver locations (ie. as a proportion of
114 the total distance between the two), of acoustic detections that were more than 30 km from a sighting would
115 not be substantially different (Miller, Wotherspoon, et al. 2014). Additionally, the use of a large number of
116 acoustic detections and visual sightings provided a large number of independent acoustic calls from a larger
117 number of whales.



118
119 Figure 2 – Map of the *Broad-Scale* data set. Coloured circles correspond to the visual sightings (ie. source
120 locations) with the size of the symbol proportional to the number of whales in the encounter. Black dots
121 indicate the deployment location of sonobuoys (ie. receiver locations). Only sonobuoys with acoustic
122 detections of Antarctic blue whale song are shown.

123 **Acoustic analysis**

124 Estimation of source levels and acoustic propagation was conducted similarly for both data sets. The “true”
125 locations of (groups of) whales were taken from the visual/photogrammetric observations that were made
126 during the 2013 ABWV. Acoustic detections of song unit A were assigned to one of these (groups of) whales if
127 the acoustically derived bearings passed within a predefined tolerance of the location of these visual
128 observations. The received level of each acoustic detection was measured and the distance between the
129 receiver and the visual sighting was calculated. These received levels and distances were then fitted to a model
130 of acoustic propagation in a surface duct (equation 3) with the source-level, SL , and surface duct height, r_0 , as
131 free parameters. The free parameters were fit to the data by minimising the error term, ϵ , in equation 3 (ie.
132 minimising the difference between the modelled and measured received levels).

133 For the *Fine-Scale* data set, detections of individual calls were assigned to a photogrammetric track for
134 measurement of received levels and distances. To account for the precision of acoustic bearings from DIFAR
135 sonobuoys, only acoustic detections with bearings within 10 degrees of the photogrammetric video track at
136 the time of detection were assigned to that sighting (Miller, Gedamke, et al. 2014). When determining the
137 distance between receiver and source, sonobuoy drift was taken into account using the methods described in
138 (Miller, Wotherspoon, et al. 2014) to provide a more accurate estimate of the location of the receiver.

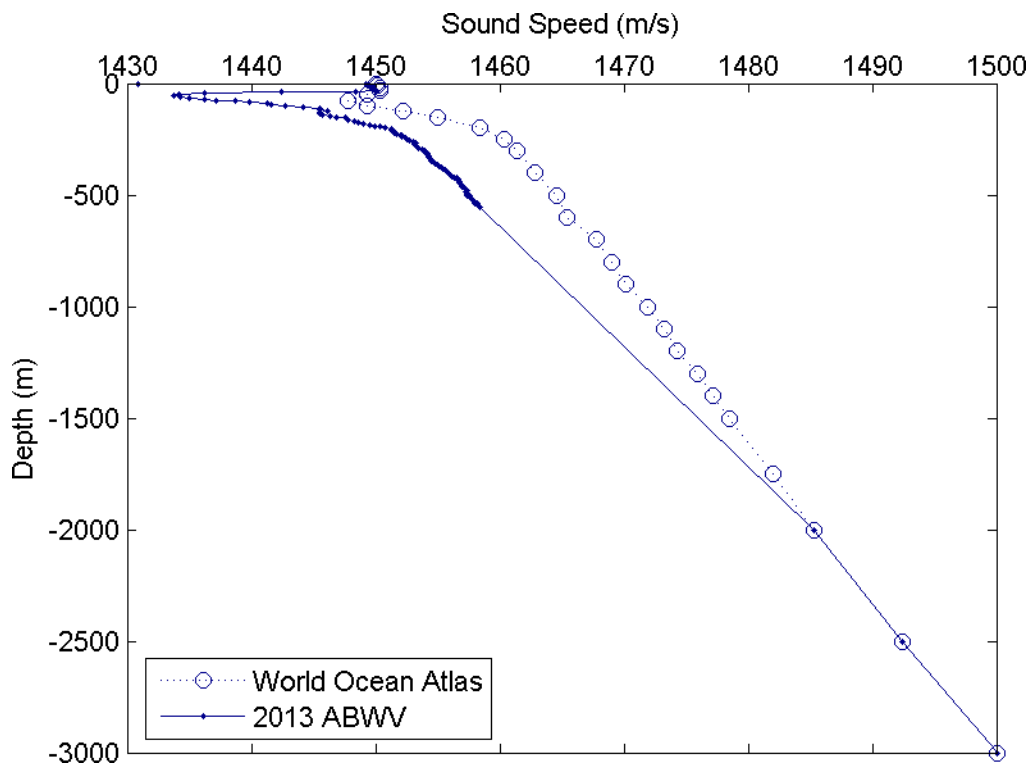
139 For the *Broad-Scale* data set we first estimated the *best-bearings* for each sonobuoy (ie. modal-peak of the
140 circular distribution of all bearings from each sonobuoy). The calculation of best-bearings is described in (Miller

141 et al. 2013, Miller, Collins, et al. 2014), though in those publications they were referred to as the “peaks of the
142 bearing-density function”. Using a large number of detections from “calibrated” sonobuoys to determine each
143 *best-bearing* ensured that they were representative of the true location of the sound source (Miller, Gedamke,
144 et al. 2014). The *best-bearings* from each sonobuoy were then used to assign a group of detections to a group
145 of visually sighted whales (Figure 2). The received level was measured for each individual detection within a
146 *best-bearing* and the mean received level of these detections was used in the acoustic propagation model.
147 Mean sound pressure levels were computed in the linear units rather than dB. Acoustic bearings that were
148 within 30 degrees of a visual sighting were assigned to that sighting. The higher tolerance of 30 degrees was
149 used in order to accommodate movement of whales that might occur due to the potentially long timespan
150 that could arise between acoustic detections and initial visual sighting of a group of whales (Miller et al. 2013).

151 **Alternate acoustic propagation models**

152 In addition to using a model of propagation loss in a surface duct (equation 3), we also considered two slightly
153 more complex models for propagation loss of the *Fine-Scale* data set. For both of these models we computed
154 the propagation loss using the ray-tracing program Bellhop (Porter 2011). The only difference between these
155 two models was the sound-speed profile. The first model used a sound speed profile derived entirely from the
156 World Ocean Atlas (Antonov et al. 2010, Locarnini et al. 2010) near the location of the *Fine-Scale* data set,
157 while the second model used a sound-speed profile derived from a CTD cast during the 2013 ABWV near the
158 location of the *Fine-Scale* data set (Figure 3). During the voyage CTD casts were conducted to a depth of 750 m,
159 thus the remainder of the second sound speed profile was derived from the World Ocean Atlas. These
160 alternative models of propagation loss were qualitatively compared to the simple model of a surface duct by
161 plotting the propagation loss as a function of distance.

162 Models created in Bellhop showed strong dependence on the depth of the sound source. We used a depth of
163 30 m for the sound source. However, this depth was based on the measurements of the calling depth of blue
164 whales made in the eastern Pacific Ocean (Oleson, Calambokidis, Burgess, et al. 2007), and may not be
165 representative of actual calling depths of Antarctic blue whales.

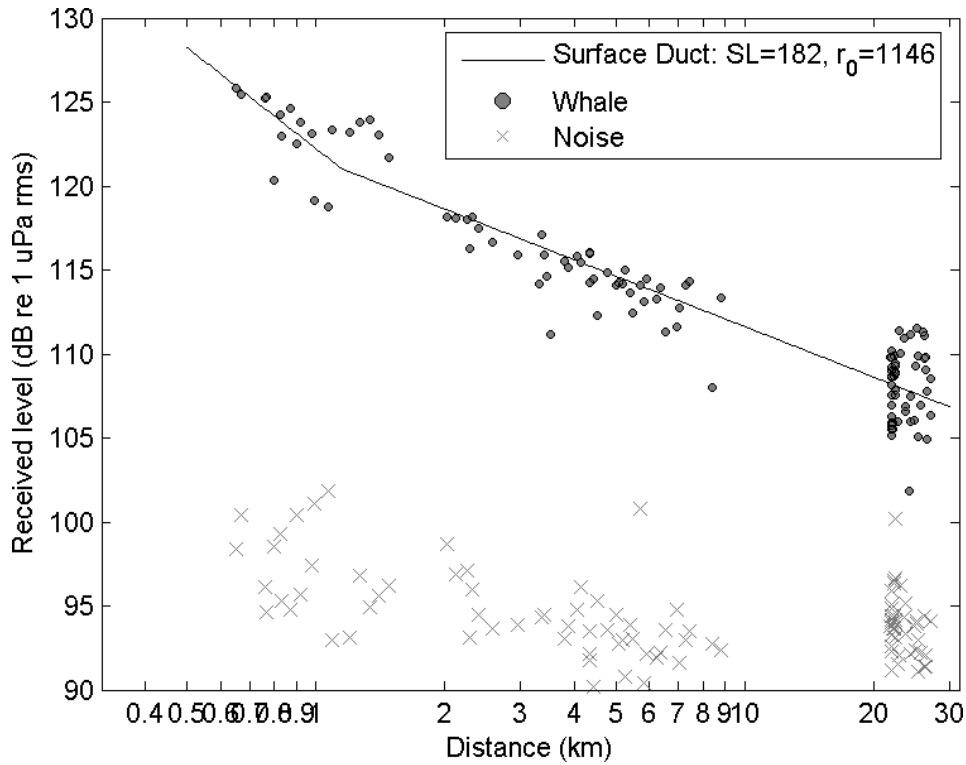


166
167 Figure 3 - Sound speed profiles used for alternative models of propagation loss. These sound speed profiles
168 were derived from locations near that of the *Fine-Scale* data set (described in the text).

169 **RESULTS**

170 **Fine-Scale**

171 The *Fine-Scale* data set contained 116 acoustic detections of unit A from 58 calls (ie. the same call was
172 measured on two sonobuoys). A source level of 182 dB re 1 μ Pa rms and a duct height of 1146 m gave the best
173 fit to the measured received levels and distances (Figure 4). Applying the best fit model to the distances and
174 received levels from these 116 detections yielded a standard deviation of source level of 1.9 dB (calculated in
175 the logarithmic, rather than linear domain).

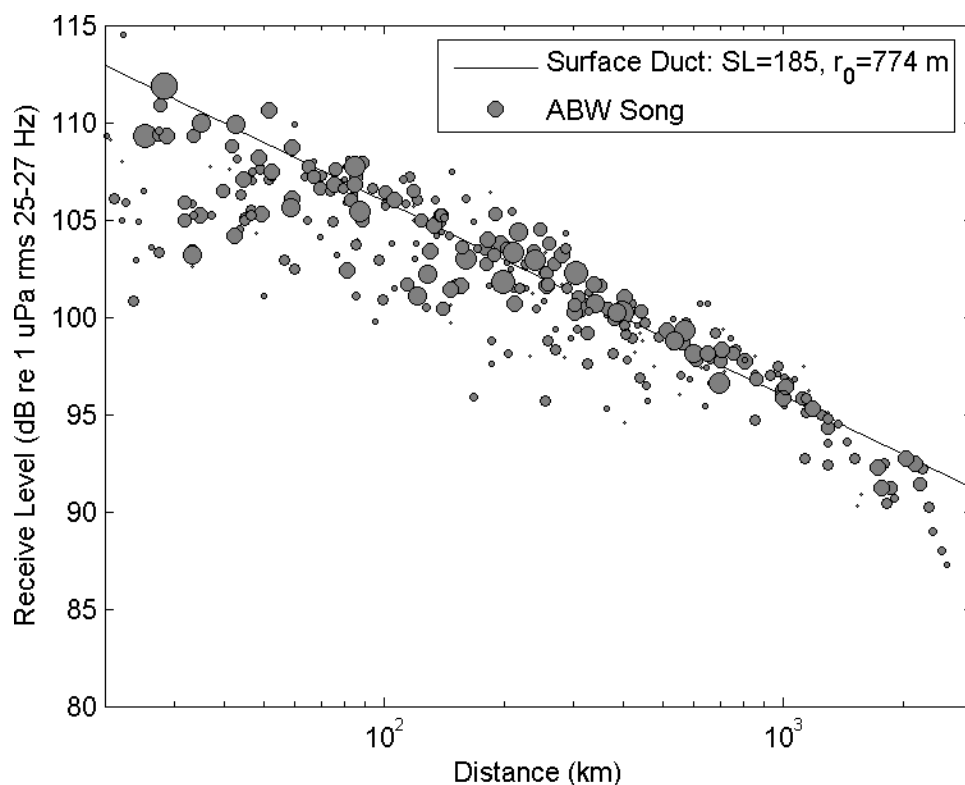


176

177 Figure 4 - Received levels and distances measured from the *Fine-Scale* data set. Circles show the received
178 levels of whale calls in the 25-29 Hz band (ie. unit A of Antarctic blue whale song), while crosses show the noise
179 measured in the 25-29 Hz band just prior to the whale call at the same distance. The black line shows the best
180 fit model of a surface duct, which in this case corresponds to a source level of 182 dB re 1 μ Pa rms and a duct
181 height of 1146 m.

182 **Broad-Scale**

183 The *Broad-Scale* data set contained 6,738 calls, however these calls were grouped together into 340 *best-*
184 *bearings* that could be assigned to a visual sighting. A source level of 185 dB re 1 μ Pa rms and a duct height of
185 774 m gave the best fit to the measured received levels and distances (Figure 5). Applying the best-fit Broad-
186 Scale model to these 340 mean-received levels and distances yielded a standard deviation of the source level
187 of 2.4 dB (calculated in logarithmic rather than linear units).



188

189 Figure 5 - Received levels and distances measured from the *Broad-Scale* data set. Circles show the received
 190 levels of whale calls in the 25-29 Hz band (ie. the first tonal unit of the Z-call). The size of the symbol
 191 corresponds to the number of bearings comprising the *best-bearing*. The black line shows the best fit model of
 192 a surface duct, which in this case corresponds to a source level of 185 dB re 1 μ Pa rms and a duct height of 774
 193 m. Distances are in kilometers (ie. 10^3 means 1000 km).

194 **Acoustic propagation models**

195 Qualitative comparisons among the acoustic propagation models revealed broad similarities. The surface-duct
 196 that was fit to the *Broad-Scale* data set matched most closely with that of the Bellhop model that used the
 197 sound-speed profile from the World Ocean Atlas. The Bellhop model that used a sound-speed profile derived
 198 from voyage CTD casts was more similar to the Fine-Scale surface duct.

199 **DISCUSSION**

200 Our results are very similar to previous estimates of the source level of Antarctic blue whales (Table 1). Results
 201 from both our data sets appear to be more precise than either of the prior estimates of source level, however
 202 we would like to stress the fact that our results are preliminary and must be subject to further more rigorous
 203 scrutiny. For the *Fine-scale* data, the additional precision of the source levels is likely due to our method of
 204 localisation being potentially more precise than the acoustic methods used during the prior two studies.
 205 However, it is somewhat surprising that the precision of the *Broad-scale* data is also very high, given all the
 206 potential sources of error in that data set.

207 Table 1 - Comparison of measurements of the source level of Antarctic blue whale calls.

Study	SL (mean) dB re 1 μ Pa rms	SL (Std. Dev)	Band (Hz)	n (whales)	n (calls)
<i>Fine-Scale</i>	182	2	25-29	1	60
<i>Broad-Scale</i>	185	2	25-29	>7*	6,738**
Sirović et al. (2007)	189	3	25-29	5	84
Samaran et al. (2010)	179	5	17-30	1	28

* A precise estimate of the total number of individual callers during the voyage was not possible.
 ** 6,738 calls were averaged to yield 340 *best-bearings*, distances, and received levels.

208 Potential sources of error in the *Broad-scale* data set include: errors in distance estimation due to whale
209 movement, model mismatch, and/or natural variability in source levels both within and among individual
210 whales. While the long time-spans between many acoustic detections and associated whale sightings (Miller et
211 al. 2013) could potentially result in very large errors in distance due to movement of whales (Olson et al.
212 2013), it appears that at these long detection distances actual movements of the whales are not enough to
213 generate large changes in received levels. This is likely due to the logarithmic relationship between the two
214 quantities (equation 3). Additionally, the consistency and precision of the broad-scale results suggest that
215 propagation losses in the Antarctic can be estimated using a simple model of a surface duct when propagation
216 occurs between a shallow source and shallow receiver both in deep water (eg. blue whales and sonobuoys
217 during SORP or SOWER voyages).

218 Given the consistency of our results both internally and with that of prior studies, we conclude that Antarctic
219 blue whales appear to produce song unit A at a relatively consistent source level, regardless of individual,
220 location, or year. This result suggests that there is some natural limit to the maximum source level produced
221 by Antarctic blue whales, and that most whale calls approach this limit. We cannot yet be certain whether this
222 limit is imposed by physical constraints of the vocal anatomy in conjunction with selection pressure to be long,
223 low, loud, and loquacious (Adam et al. 2013), or if it results from the requirement to maintain contact with a
224 constant number of conspecifics (McDonald et al. 2009). However, application of the methods described in
225 this manuscript to the data collected during the IDCR-SOWER surveys may provide a test of the latter
226 hypothesis.

227 While we have only considered acoustic detections of Antarctic blue whale Z-calls (Rankin et al. 2005, Miller et
228 al. 2013), we would expect that the following methods should also be generally applicable to other calls such
229 as 'D' calls. However evidence suggests that 'D' calls may not have source levels that are as consistent as those
230 of song calls (Thode et al. 2000, Gavrillov et al. 2011).

231 **CONCLUSIONS**

232 We have greatly increased the number of measurements of the source level of the song of Antarctic blue
233 whales. We have provided strong evidence that call unit A is produced at relatively constant source levels of
234 180-187 dB re 1 μ Pa rms in the 25-29 Hz band. We have demonstrated that acoustic propagation from a
235 shallow source to a shallow receiver can be modelled as a simple surface duct with reasonable accuracy. At
236 these levels, and under these propagation conditions, Z-calls of Antarctic blue whales can be detected from
237 thousands of kilometres away.

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