



135 Station Road, Impington, Cambridge, UK, CB24 9NP;
 Tel: +44 1223 233397 - Fax: +44 1223 232876
 E-mail: secretariat@iwc.int

PROJECT PROPOSAL REQUEST

1 . PROPOSAL TITLE

Ongoing SC database hosting and server management by IWC Secretariat.

2 . BRIEF OVERVIEW OF THE PROPOSAL AND ITS EXPECTED OUTCOME

The IWC Secretariat hosts and manages several databases for the SC. These have annual service costs associated with them including web/database servers, storage, backups, software licences and other associated infrastructure costs.

This request seeks to apply for the funding to continue the hosting and management services required for SC databases for the year ahead.

3 . RELEVANT IWC SCIENTIFIC COMMITTEE GROUPS OR SUB-GROUPS

IWC databases are used by all sub-committees and their development monitored by the Ad hoc Working Group on Databases and related issues.

4 . TYPE OF PROJECT (PLEASE TICK)

Research project	
Modelling	
Workshop/meeting	
Database creation/maintenance	X
Compilation work/editing (<i>e.g. on whalewatching regulations, SOCER, etc.</i>)	
Other (<i>please specify below</i>)	

5. BRIEF DESCRIPTION OF THE PROPOSAL AND ITS CONNECTION WITH SCIENTIFIC COMMITTEE RECOMMENDATIONS (DO NOT EXCEED 1500 WORDS)

(A) BACKGROUND, RATIONALE, AND RELEVANCE TO THE PRIORITIES IDENTIFIED BY THE IWC SCIENTIFIC COMMITTEE:

The IWC Secretariat hosts and manages several databases for the SC in addition to core IWC databases and applications. The Secretariat no longer has local physical server storage space at the Red House, so all databases and applications are hosted in the cloud using paid external services. These have ongoing annual running costs associated with them and include:

1. Monthly physical storage space, including web/database servers and file storage
2. Independent backup service and space for redundancy
3. Software licences for proprietary control software, SSL security certificates

Sever administration (software/security updates, DNS, housekeeping, bug-fixing)

(B) SPECIFIC OBJECTIVES OR TOR AND DELIVERABLES /OUTCOMES:

Continuity of hosting and management of SC databases

(C) METHODOLOGICAL APPROACH/WORK PLAN/ADMINISTRATIVE DETAILS

Specify the methods to be applied (novel methods require more explanation than standard ones) and the broad workplan – the detailed timetable appears under Item 5 below.

In the case of workshops and meetings, include the broad work plan including any pre-requisites for the workshop/meeting to take place (apart from funding, e.g. completed analyses, papers etc.) and administrative details (e.g. location, dates, number of participants).

(D) SUGGESTIONS FOR OUTREACH

Please, note that successful proponents will be requested to produce ad hoc material that will be used by the IWC Secretariat for dissemination and outreach.

6 . TIMETABLE FOR ACTIVITIES AND OUTPUTS

Specify the timetable for project activities and expected outputs separately. For projects with multiple distinct elements please indicate interim goals and timeframes. Add as many rows as you need to the tables below. If publications are an expected output please note whether you will submit the manuscript to the IWC's Journal of Cetacean Research and Management.

Activity to be undertaken	Key person(s)	Start(mm/yy)	Finish (mm/yy)
Ongoing hosting, admin, updates, software	Secretariat	Ongoing	Ongoing

Expected outputs	Completion date (mm/yy)
SC Database hosting and support	Ongoing

7 . RESEARCHERS' (OR STEERING GROUP) NAME(S) AND AFFILIATION

Please, also specify if the project team has any direct connection (e.g. same research group or institute, collaborator on common project) with people involved or likely to be involved in taking the funding decision (e.g. IWC SC heads of delegations, SC convenors, etc.). Add as many rows as you need to the table below.

Name	Affiliation	Connection with decision
IT department	Secretariat	Infrastructure, admin and maintenance

8 TOTAL BUDGET

PROJECT BUDGET					Co-funding
	Description	Cost per unit	Number of units	Total Cost £GBP	
(1) Salaries (by person)					
(2) Travel/subsistence (by person or est. total for IPs)					
(3) Services (by item)	Database hosting and management costs (£500.00 per month)			6000	
(4) Reusable equipment					
(5) Consumables					
(6) Shipping & Customs (by Item)					
(7) Insurance (by item)					
(8) Other					
TOTAL					

Co-funding Memo:

Source	Purpose of Funding	Amount	Secured/Tentative?
TOTAL			

Total value of project:	£GBP
Funds requested from IWC	
Co-funding	
TOTAL	6000

9 . DATA ARCHIVING/SHARING

Please state your plans for data archiving and sharing. Note that data collected primarily under IWC grants are considered publicly available after an agreed period of time for publication of papers, usually about two years. The work of the IWC depends on the voluntary contribution of data to the various databases and catalogues IWC supports. Please consult the Secretariat (secretariat@iwc.int).

10 . PERMITS (PLEASE TICK)

Do you have the necessary permits to carry out the field work and have animal welfare considerations been appropriately considered?	
Do you have the appropriate permits (e.g. CITES) for the import/export of any samples?	

If 'Yes' please provide further details and enclose copies where appropriate:

DRAFT SCORING SHEET

If a project presents multiple primary objectives which are achieved using sub-projects, a sheet should be used to evaluate each single sub-project. Note that not all criteria are equally applicable depending on the nature of the project (e.g. field work versus workshops).

IWC SCIENTIFIC COMMITTEE PROPOSALS FOR FUNDING - REVIEW CRITERIA - TEST			
TITLE OF THE PROJECT/sub-projects:			
PRINCIPAL INVESTIGATOR:			
Key criteria	Explanation of scoring	Score	Supporting Remarks
Relevance to Scientific Committee priorities			
1	How well aligned are the scientific outcomes of the project/activity with the current SC priority areas?	1 - Not aligned/poorly aligned (e.g. too vague or generic reference to general SC priorities) 2 - Reasonably aligned (e.g. some aspects may be vague or links are not clear) 3 - Well aligned (e.g. outcomes clearly deliver in the most part on priority areas, may also address longer term or potential future issues). 4 - Closely aligned (e.g. of interest for multiple sub-groups or delivers on specific SC high priority topics/recommendations in the immediate or short term).	
2	To what extent will the outcomes of the project/activity contribute to improvements in the conservation and management of cetaceans?	1 - Not at all 2 - Poorly 3 - Reasonably or over the longer term 4 - Well or over the medium term 5 - Excellently or to almost immediate effect	
Note: if in each of the two above key criteria under this section the project does not score singularly at least 2 points, do not proceed in further evaluation. Of course, proposals within a sub-group would only be developed if in their estimation scores were of 4 or above.			
Approach and methodology			
3	What degree of scientific merit/value is there in carrying out the work?	1 - Not demonstrated or of low scientific value 2 - Useful/basic scientific value 3 - Very good scientific value 4 - Excellent/innovative scientific value	
4	Is the proposed methodology scientifically sound and feasible in terms of field and analytical methods?	1 - Feasibility unrealistic & poor methodology or not properly addressed 2 - Feasibility & methodology acceptable but would benefit from some substantial amendments	

		3 - Feasibility & methodology good, some small changes beneficial 4 - Feasibility & methodology excellent or a highly promising innovative approach to an important question facing the Committee		
5	What is the likelihood of success based on the proposed overall approach and methodology?	1 – No chance of success 2 - Low chance of success/better approaches available 3 - Medium chance of success/some changes to the approach necessary 4 - High chance of success/little or no changes to the approach necessary		
5a	Are objectives of the research likely to be achieved within the proposed time-frame?	1 – No or unlikely 2 – Partially or potentially ambitious 3 - Yes with some minor suggestions 4 – Yes		
5b	Are any proposed intermediary targets timely and achievable?	1 – No or unlikely 2 – Partially 3 - Probably 4 - Yes		
5c	Is the proposed time-frame/work necessary (e.g. can the project produce results in a shorter time period)?	1 – No or unlikely 2 – Partially 3 - Probably 4 - Yes		
5d	Is the sample size adequate to achieve the stated objectives?	1 – Not demonstrated/not properly addressed 2 – No or unlikely (too low/too high) 3 – Probably (additional analysis needed) 4 - Yes		
6	Is the project likely to affect adversely the population(s) involved?	1 - Not properly addressed/ unknown 2 - Yes severely 3 – Possibly at a low level 4 - No		
6a	IF YES , are analyses provided on simulations of the effects using different time-frames for the project if applicable?	1 – No 2 – Partially 3 - Yes		
Note: if in each of the above key criteria under this section the project does not score singularly at least 2 points, do not proceed in further evaluation. Of course, proposals within a sub-group would only be developed if in their estimation scores were of 3 or above.				
Project team and Project management				

7	To what extent does the team have the relevant expertise, experience, and balance?	1 – Poor or not demonstrated 2 – Sufficient 3 - Very good 4 - Excellent		
8	Contingency plan: To what extent have potential problems/risks been considered and appropriate mitigation proposed?	1 – Poor or not demonstrated 2 – Sufficient but could be improved 3 - Fully or requiring only minor suggestions or not applicable		
Value for Money				
10	Does the project represent good value for money?	1 – No or significant amendments would be needed 2 – Yes but with some minor amendments 3 – Yes		
11	Have sufficient links been made to the wider research community/other organisations/capacity building.	1 – No 2 – Some but significant amendments needed 3 – Yes but with some minor additions 4 – Yes or not applicable		