



135 Station Road, Impington, Cambridge, UK, CB24 9NP;
Tel: +44 1223 233397 - Fax: +44 1223 232876
E-mail: secretariat@iwc.int

PROJECT PROPOSAL REQUEST

1. PROPOSAL TITLE

Please provide the title of the project or the name of the workshop/meeting.

Essential computing support to the Secretariat for IA for the In-depth assessment of Western North Pacific common minke whales

2. BRIEF OVERVIEW OF THE PROPOSAL AND ITS EXPECTED OUTCOME

Give a very brief overview (max 150 words) on your proposal and its expected outcomes. Use bullet point to list outcomes. Be succinct and clear as this may be used to summarise your project for the report.

The Committee is currently engaged in an In-depth assessment of Western North Pacific common minke whales. The Committee has developed a complex assessment model structure towards this end. A key task in this process is to develop and validate the code for the this model, together with its variants which are required for the associated sensitivity tests; these are the core components of this process . Experience has shown that the Secretariat staff alone cannot handle this complete process themselves, so that computing support is needed.

3. RELEVANT IWC SCIENTIFIC COMMITTEE GROUPS OR SUB-GROUPS

List all the IWC Scientific Committee groups or sub-groups that the outcomes of this work would be relevant to and provide a brief (1-2 lines) explanation of how it would contribute more widely to their ongoing programmes of work. Where possible, do not simply list only the sub-committee within which or for which the project proposal was generated.

The work is required for the IA sub-committee.

4. TYPE OF PROJECT (PLEASE TICK)

Research project	
Modelling	
Workshop/meeting	
Database creation/maintenance	
Compilation work/editing (e.g. on whalewatching regulations, SOCER, etc.)	
Other (please specify below)	X
Computing support for Secretariat	

5. BRIEF DESCRIPTION OF THE PROPOSAL AND ITS CONNECTION WITH SCIENTIFIC COMMITTEE RECOMMENDATIONS (DO NOT EXCEED 1500 WORDS)

(A) BACKGROUND, RATIONALE, AND RELEVANCE TO THE PRIORITIES IDENTIFIED BY THE IWC SCIENTIFIC COMMITTEE :

Provide a clear explanation of the background and rationale for the proposal and its relevance to Scientific Committee identified priorities. Clearly identify the most relevant and recent Scientific Committee recommendations.

This is described under section 2 above. This in-depth assessment is a Scientific Committee priority, especially to integrate the results from a wide range of research conducted by and reported to the Committee over recent years on the common minke whales in this region, coupled to considerable interest in the results which it will generate regarding the current status of the constituent stocks.

(B) SPECIFIC OBJECTIVES OR TOR AND DELIVERABLES/OUTCOMES:

Provide the specific objectives and the expected deliverables. In the case of workshops and meetings, include the Terms of Reference (ToR) and expected outcomes.

To assist provide, validate and run the computer code for the assessment models that form a key part of the In-depth assessment.

(C) METHODOLOGICAL APPROACH/WORK PLAN/ADMINISTRATIVE DETAILS

Specify the methods to be applied (novel methods require more explanation than standard ones) and the broad workplan – the detailed timetable appears under Item 5 below.

In the case of workshops and meetings, include the broad work plan including any pre-requisites for the workshop/meeting to take place (apart from funding, e.g. completed analyses, papers etc.) and administrative details (e.g. location, dates, number of participants).

The general approach is standard, and as has been applied for previous *Implementation Reviews* of the common minke whales in this region.

(D) SUGGESTIONS FOR OUTREACH

Please, note that successful proponents will be requested to produce ad hoc material that will be used by the IWC Secretariat for dissemination and outreach.

The product from the work proposed is code for the In-depth assessment in question that will be used by the IWC Secretariat to provide key outcomes from this process.

6. TIMETABLE FOR ACTIVITIES AND OUTPUTS

Specify the timetable for project activities and expected out puts separately. For projects with multiple distinct elements please indicate interim goals and timeframes. Add as many rows as you need to the tables below. If publications are an expected output please note whether you will submit the manuscript to the IWC's Journal of Cetacean Research and Management.

Activity to be undertaken	Key person(s)	Start(mm/yy)	Finish (mm/yy)
Computer coding and associated activities	Carryn de Moor	06/21	05/22

Expected outputs	Completion date (mm/yy)
Computer code and results therefrom during the course of the In-depth assessment.	Throughout the course of the one year period indicated

7. RESEARCHERS' (OR STEERING GROUP) NAME(S) AND AFFILIATION

Please, also specify if the project team has any direct connection (e.g. same research group or institute, collaborator on common project) with people involved or likely to be involved in taking the funding decision (e.g. IWC SC heads of delegations, SC convenors, etc.). Add as many rows as you need to the table below.

Name	Affiliation	Connection with decision
Carryn de Moor	University of Cape Town	Provides additional computing support
Doug Butterworth	University of Cape Town	Advice on work being conducted
Cherry Allison	IWC Secretariat	Conducts work required on trials in conjunction with Carryn de Moor
Andre Punt	University of Washington, Seattle	Advice on work being conducted

8. TOTAL BUDGET

Breakdown into: (1) salaries/wages (include name/position of each individual and breakdown of time and duties i; (2) travel/subsistence expenses (breakdown by person and justification) unless for IPs for workshops where a total estimate based on an average for the total number of IPs is acceptable; (3) services (e.g. aircraft/vessel time, consultancy fees, ARGOS fees, etc.); (4) reusable capital equipment (e.g. reusable equipment such as a hydrophone, cameras, etc. Note that this equipment will have to be registered at the IWC Secretariat and will remain property of the IWC at the end of the project), (5) expendable capital equipment (e.g. consumables, tags, stationery), (6) shipping costs, (7) insurance costs, (8) in kind co-funding (specify whether other funding is available for personnel/name, equipment, venues, etc.). Note that "Overheads" are not admissible. Add as many rows as you need to the table below.

Type	Detailed description	Cost in GB pounds
(1) Salaries (by person)	Carryn de Moor: 1 year @ 2.5 months/year @ 4600 pounds per month	11500
(2) Travel/subsistence (by person or est. total for IPs)	Carryn de Moor to attend annual SC meeting for 2022	2000
(3) Services (by item)		
(4) Reusable equipment		
(5) Consumables		
(6) Shipping (by Item)		
(7) Insurance (by item)		
(8) Co-funding		
(9) Other		
Total		13500

9. DATA ARCHIVING/SHARING

Please state your plans for data archiving and sharing. Note that data collected primarily under IWC grants are considered publicly available after an agreed period of time for publication of papers, usually about two years. The work of the IWC depends on the voluntary contribution of data to the various databases and catalogues IWC supports. Please consult the Secretariat (secretariat@iwc.int).

10. PERMITS (PLEASE TICK)

Do you have the necessary permits to carry out the field work and have animal welfare considerations been appropriately considered?	N/A
Do you have the appropriate permits (e.g. CITES) for the import/export of any samples?	N/A

If 'Yes' please provide further details and enclose copies where appropriate:

Appendix 2 – DRAFT SCORING SHEET

If a project presents multiple primary objectives which are achieved using sub-projects, a sheet should be used to evaluate each single sub-project. Note that not all criteria are equally applicable depending on the nature of the project (e.g. field work versus workshops).

IWC SCIENTIFIC COMMITTEE PROPOSALS FOR FUNDING - REVIEW CRITERIA - TEST			
TITLE OF THE PROJECT/sub-projects:			
PRINCIPAL INVESTIGATOR:			
Key criteria		Explanation of scoring	Score
Relevance to Scientific Committee priorities			
1	How well aligned are the scientific outcomes of the project/activity with the current SC priority areas?	1 - Not aligned/poorly aligned (e.g. too vague or generic reference to general SC priorities) 2 - Reasonably aligned (e.g. some aspects may be vague or links are not clear) 3 - Well aligned (e.g. outcomes clearly deliver in the most part on priority areas, may also address longer term or potential future issues). 4 - Closely aligned (e.g. of interest for multiple sub-groups or delivers on specific SC high priority topics/recommendations in the immediate or short term).	
2	To what extent will the outcomes of the project/activity contribute to improvements in the conservation and management of cetaceans?	1 - Not at all 2 - Poorly 3 - Reasonably or over the longer term 4 - Well or over the medium term 5 - Excellently or to almost immediate effect	
Note: if in each of the two above key criteria under this section the project does not score singularly at least 2 points, do not proceed in further evaluation. Of course, proposals within a sub-group would only be developed if in their estimation scores were of 4 or above.			
Approach and methodology			
3	What degree of scientific merit/value is there in carrying out the work?	1 - Not demonstrated or of low scientific value 2 - Useful/basic scientific value 3 - Very good scientific value 4 - Excellent/innovative scientific value	
4	Is the proposed methodology scientifically sound and feasible in terms of field and analytical methods?	1 - Feasibility unrealistic & poor methodology or not properly addressed 2 - Feasibility & methodology acceptable but would benefit from some substantial amendments	

			3 - Feasibility & methodology good, some small changes beneficial 4 - Feasibility & methodology excellent or a highly promising innovative approach to an important question facing the Committee	
5	What is the likelihood of success based on the proposed overall approach and methodology?		1 - No chance of success 2 - Low chance of success/better approaches available 3 - Medium chance of success/some changes to the approach necessary 4 - High chance of success/little or no changes to the approach necessary	
5a	Are objectives of the research likely to be achieved within the proposed time-frame?		1 - No or unlikely 2 - Partially or potentially ambitious 3 - Yes with some minor suggestions 4 - Yes	
5b	Are any proposed intermediary targets timely and achievable?		1 - No or unlikely 2 - Partially 3 - Probably 4 - Yes	
5c	Is the proposed time-frame/work necessary (e.g. can the project produce results in a shorter time period)?		1 - No or unlikely 2 - Partially 3 - Probably 4 - Yes	
5d	Is the sample size adequate to achieve the stated objectives?		1 - Not demonstrated/not properly addressed 2 - No or unlikely (too low/too high) 3 - Probably (additional analysis needed) 4 - Yes	
6	Is the project likely to affect adversely the population(s) involved?		1 - Not properly addressed/ unknown 2 - Yes severely 3 - Possibly at a low level 4 - No	
6a	IF YES , are analyses provided on simulations of the effects using different time-frames for the project if applicable?		1 - No 2 - Partially 3 - Yes	
<p>Note: in each of the above key criteria under this section the project does not score singularly at least 2 points, do not proceed in further evaluation. Of course, proposals within a sub-group would only be developed if in their estimation scores were of 3 or above.</p>				
Project team and Project management				

7	To what extent does the team have the relevant expertise, experience, and balance?	1 – Poor or not demonstrated 2 – Sufficient 3 - Very good 4 - Excellent	
8	Contingency plan: To what extent have potential problems/risks been considered and appropriate mitigation proposed?	1 – Poor or not demonstrated 2 – Sufficient but could be improved 3 - Fully or requiring only minor suggestions or not applicable	
Value for Money			
10	Does the project represent good value for money?	1 – No or significant amendments would be needed 2 – Yes but with some minor amendments 3 – Yes	
11	Have sufficient links been made to the wider research community/other organisations/capacity building.	1 – No 2 – Some but significant amendments needed 3 – Yes but with some minor additions 4 – Yes or not applicable	