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Population differentiation of endangered franciscanas in Southeastern Brazil: new genetic, contaminant and stable isotope data support subdivision of FMAII

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NOT TO BE CITED WITHOUT THE AUTHORS' CONSENT 1 2 Population differentiation of endangered franciscanas 3 in Southeastern Brazil: new genetic, contaminant and 4 stable isotope data support subdivision of FMAII 5 6 Cunha HA¹, Bisi TL¹, Bertozzi CP², Dias CP¹, Santos-Neto EB¹, Manhães BMR¹, 7 Oliveira-Ferreira N¹, Montanini GN¹, Ikeda J¹, Carvalho RR¹, Azevedo AF¹, Lailson-8 Brito J¹ 9 10 ¹ Laboratório de Mamíferos Aquáticos e Bioindicadores (MAQUA), Faculdade de 11 Oceanografia, Universidade do Estado do Rio de Janeiro (UERJ). Rio de Janeiro, RJ, 12 Brazil. 13 ² Departamento de Ciências Biológicas e Ambientais, Instituto de Biociências, 14 Universidade Estadual Paulista Júlio de Mesquita Filho (UNESP), Campus do Litoral 15 Paulista. São Vicente, RJ, Brazil. 16 17 18 19 ABSTRACT 20 Franciscanas are small coastal cetaceans threatened by human activities, such as 21 by-catch in driftnet fisheries. During the last two decades, research and conservation 22 actions for this species have been delineated based on management units named 23 24 Franciscana Management Areas (FMA). Recently, genetic data provided preliminary evidence that FMAII comprises two population units. The present study presents new 25 evidence in favour of subdivision of FMAII from genetic, organochlorine compounds 26

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30 **INTRODUCTION**

and stable isotopes data.

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32 The franciscana (Pontoporia blainvillei) is a small coastal cetacean that is threatened by human activities, notably incidental capture in fishing gear (Crespo 2009, 33 Secchi 2010, Zerbini et al. 2017). Research and conservation actions for the franciscana 34 have been devised based on Franciscana Management Areas (FMA), which were first 35 proposed in 2003, based on multiple lines of evidence, such as genetic, morphology, life 36 history and parasite load data (Secchi et al. 2003). Since then, genetic data have been 37 used to refine the number and limits of FMA (Lázaro et al. 2004, Mendez et al. 2008, 38 39 2010, Costa-Urrutia et al. 2012, Cunha et al. 2014, Gariboldi et al. 2016).

Cunha et al. (2014) was the only study that analysed the entire range of the 40 species, using mitochondrial control region sequences. One of the main conclusions was 41 a deep evolutionary break between franciscanas from Espirito Santo and northern Rio 42

de Janeiro and those from southern Rio de Janeiro southwards to Argentina. That 43 divergence justified the split of the species in two Evolutionarily Significant Units 44 (ESU), the North ESU (Espírito Santo, ES and northern Rio de Janeiro, RJN) and the 45 South ESU (southern Rio de Janeiro, RJS to Argentina, ARG). In addition, analyses 46 revealed population differentiation in FMAI, between franciscanas from ES and RJN, 47 48 and in FMAII, between franciscanas from SPN+RJS and SPC to SC (Figure 1). Due to the low number of samples from SPN (N = 8) and RJS (N = 2) available at the time, 49 results were considered preliminary. Nevertheless, taking into account that franciscanas 50 face a high risk of extinction, and that the area is under considerable human impact, the 51 authors proposed the subdivision of FMAII in two management units, FMAIIa (RJS and 52 53 SPN) and FMAIIb (SPC to SC), until new data could be analysed.

The presence of franciscanas in RJS was unknown until 2002 (Azevedo *et al.* 2002). Records of the species in this region remained scarce until recently, when 16 franciscanas stranded in RJS, between 2017 and 2019 (Azevedo AF and Lailson-Brito J, *personal observation*). Those new samples were incorporated in genetic, contaminant and stable isotope analyses, which also included samples from SPC and other localities, with the aim of testing the hypothesis of population differentiation between FMAIIa and FMAIIb.

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Figure 1: The FMA proposal of Secchi *et al.* (2003) as refined by Cunha *et al.* (2014). ES:
Espírito Santo; RJN: northern Rio de Janeiro; RJS: southern Rio de Janeiro; SPN: northern São
Paulo; SPC: central São Paulo; SPS: southern São Paulo; PR: Paraná; NSC: northern Santa
Catarina; RS: Rio Grande do Sul; URU: Uruguay; ARG: Argentina. From Cunha *et al.* (2014)

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MATERIALS AND METHODS

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Sampling

Samples were collected from stranded or by-caught franciscanas. For genetic analysis, eight new skin samples collected in RJS were used. Published sequences from RJS and other localities were also included in genetic analyses (ES = 14, RJN = 10, RJS = 2, SPN = 8, SPC = 19, SPS = 7, NSC = 17, RS = 15, URU = 38, ARG = 31). For organochlorine analyses, blubber samples from 34 franciscanas were used (RJS, N = 9; SPC, N = 25). Muscle from 27 individuals were used for determination of stable carbon and nitrogen isotopes (RJS, N = 7; SPC, N = 20).

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Genetic analyses

DNA was extracted using the phenol/chloroform protocol (Sambrook *et al.* 1989). A fragment of the mitochondrial DNA control region was amplified using the primers RCPb-F and RCPb-R, according to the protocol described by Cunha *et al.* (2014). PCR products were purified and sequenced in both directions in an ABI3500xl DNA Analyzer (Applied Biosystems). Sequences were edited with program SeqMan 7 (Lasergene Inc.) and visually aligned in MEGA 5.0 (Tamura *et al.* 2007).

Previously published sequences (Secchi *et al.* 1998; Cunha *et al.* 2014) were added to the alignment. Diversity indices (h, π) were estimated in DnaSP (Librado and Rozas 2009). Population structure analyses (pairwise F_{ST}, ϕ_{ST} and AMOVA) were done in Arlequin 3.5 (Excoffier and Lischer 2010). A median joining haplotype network was constructed using PopArt (Leygh and Bryant 2015).

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Analysis of organochlorine compounds

Methodology was adapted from Lailson-Brito et al. (2010). Briefly, 0.5g of 94 blubber was spiked with internal standards (ISTD; PCB 103 + PCB 198) and extracted 95 96 via soxhlet using dichloromethane and n-hexane (1:1) for 8 hours. An aliquot was used 97 to determine the lipid content gravimetrically. The extract underwent an acidic clean up, followed by elution in neutral aluminum oxide column in two steps: with 98 dichloromethane and n-hexane (2:1) and dichloromethane and methanol (9:1). Analyses 99 100 of organochlorine contaminants were performed in a Gas Chromatographer (Agilent Technologies 6890) with an automatic injector (Agilent Technologies 7683B) coupled 101 to a Mass Spectrometer (Agilent Technologies 5975) operating in the electrons impact 102 (EI) source on Selected Ion Monitoring (SIM) mode. Chromatograms were analyzed in 103 the Enhanced ChemStation® software (Agilent Technologies). Finally, the integration 104 results were transformed based on the lipid weight of each sample. Thirty five 105 organochlorinated compounds were determined in the present study, among them 27 106 PCB congeners (IUPAC numbers: 8, 28, 31, 44, 49, 52, 70, 74, 97, 99, 101, 105, 118, 107 108 132, 138, 141, 151, 153, 158, 169, 170, 177, 180, 183, 194, 195 and 206) and eight pesticides (p.p'-DDT, p.p'-DDE and p.p'-DDD; mirex; HCB; α-HCH, β-HCH and γ-109 HCH). 110

111 An analytical blank was added to the analysis in order to detect possible 112 contamination sources from the procedure. No compounds were detected in the blank. 113 Mean ISTD recovery IN this study was $91.0\% \pm 8.7\%$. The compounds herein analyzed 114 were validated based on Standard Reference Material® 1945 (SRM®1945, NIST), 115 pilot-whale (*Globicephala melas*) adipose tissue, and recovery for each compound was 116 accepted between 65 and 135%. Ultimately, detection and quantification limits (DL and 117 QL) for each compound were calculated. Organochlorine compounds found in 118 concentrations above the QL were considered for statistical analysis.

A discriminant function analysis was performed using all individual compounds (PCB congeners, DDT and its metabolites, HCB and HCH isomers) for investigating possible differences in the organochlorine accumulation patterns of franciscanas from Rio de Janeiro (RJS) and São Paulo (SPC). From 35 compounds (PCBs, DDTs, HCB and HCHs), 24 were used in the test, since they fulfilled the requirements of the model.

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Analysis of δ^{13} C and δ^{15} N

Muscle samples were dried at 60°C for 72h and then ground into a homogeneous 126 127 powder. Dried samples (0.3 mg) were weighed and placed in tin capsules, and carbon 128 and nitrogen stable isotope measurements were performed on a DELTA V isotope ratio mass spectrometer coupled to an Flash 2000 NCS elemental analyzer (Thermo Fisher 129 Scientific). Stable isotope ratios were expressed in delta notation as parts per thousand 130 according to the following equation: $\delta X = [(R_{sample} = R_{standard}) - 1] \times 1000$, where X is ¹³C 131 or ¹⁵N and R is the corresponding ratio of ${}^{13}C/{}^{12}C$ or ${}^{15}N/{}^{14}N$. Carbon and nitrogen ratios 132 were expressed in relationship to the V-PDB standard and to atmospheric nitrogen, 133 respectively. Reference materials (USGS24 and IAEA-N2) were also analyzed. The 134 standard deviation on replicated measurements from a single sample was $\pm 0.3\%$. 135 Because lipids have been shown to be depleted in ¹³C and lipid tissue content can be 136 variable, we measured the elemental content and calculated the sample C:N ratio to 137 verify the lipid content of each sample (Post et al., 2007). Only one sample presented 138 C:N > 3.5; therefore, we normalized the δ^{13} C values according to the following equation 139 (Post *et al.*, 2007): $\delta^{13}C_{normalized} = \delta^{13}C_{untreated} - 3.32 + 0.99 * C:N.$ 140

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143 **RESULTS**

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Genetic analyses

In total, 168 sequences were analysed. Sequences were 455bp-long and 41 146 polymorphic sites were observed, defining 33 haplotypes. The new sequences from RJS 147 belonged to two haplotypes. The haplotype network shows that all franciscanas from 148 RJS and all but one from SPN belong to haplotypes that are closely related (Figure 2). 149 Concerning the new sequences from RJS, one individual had the same haplotype 150 observed before in two franciscanas from RJS, and from other localities in FMAII (SPN 151 and SPC). The other seven franciscanas from RJS shared a haplotype also previously 152 153 found in individuals from FMAII (SPN, SPC and NSC). Haplotypes found in FMAIIa and FMAIIb tend to form a cluster, but some individuals from FMAIIb have haplotypes 154 closer to those observed in FMAIII and FMAIV (Figure 2). 155



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Figure 2: Median-joining haplotype network (455bp, N = 168) of mtDNA control region sequences of franciscanas.

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Because the goal of this study was to test differentiation within the South ESU, sequences from the North ESU (ES and RJN) were not included in population structure analyses. Pairwise F_{ST} analysis suggested four populations: ARG, URU+RS, NSC+SPS+SPC, and SPN+RJS (Table 1). Φ_{ST} results failed to detect differentiation between ARG, URU and RS (FMAIV and FMAIII), but showed separation of NSC+SPS+SPC, and SPN+RJS. The two analyses thus agreed about the genetic distinctiveness of FMAIIa and FMAIIb.

AMOVA analysis rejected the hypothesis of panmixia in the South ESU (RJS, 168 SPN, SPC, SPS, NSC, RS, URU and ARG; $\Phi_{ST} = 0.251$, P = 10⁻⁵). When all the 169 possible subdivisions were tested, the scenario with higher significant Φ_{CT} was the one 170 separating FMAIIa (RJS and SPN) from all other localities (FMAIIb, FMAIII, and 171 FMAIV) ($\Phi_{CT} = 0.340$, P = 0.034). None of the scenarios that considered RJS and SPN 172 as part of a single population including SPC, SPS and NSC, was significant (data not 173 shown). The hypothesis of four populations corresponding to FMAIIa, FMAIIb, 174 175 FMAIII and FMAIV had a relatively low but significant Φ_{CT} ($\Phi_{CT} = 0.224$, P = 0.015) 176 (Table 2).

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181Table 1: Pairwise F_{ST} (top diagonal) and Φ_{CT} (lower diagonal) values. Significant values182after Bonferroni correction are in bold (alfa = 0.0018).

	ARG	URU	RS	NSC	SPS	SPC	SPN	RJS
ARG		0.078	0.116	0.091	0.114	0.084	0.140	0.296
URU	0.044		0.032	0.114	0.138	0.107	0.163	0.313
RS	0.061	0.014		0.195	0.247	0.168	0.178	0.340
SC	0.151	0.216	0.175		0.062	0.013	0.148	0.306
SPS	0.142	0.302	0.388	0.266		0.084	0.273	0.529
SPC	0.182	0.235	0.174	0.001	0.294		0.001	0.178
SPN	0.346	0.382	0.322	0.093	0.627	0.013		0.005
RJS	0.558	0.576	0.598	0.408	0.938	0.306	0.112	

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184 Table 2: Detailed AMOVA results for the six scenarios with highest significant Φ_{CT} .

	Sum of squares	Variance components	Percentage Variation	Φ Statistics	Ρ
a) 2 populations:					
AR+UR+RS+SC+SPS+SPC/SPN+RJS				0.340	0.034
Among groups	43.801	1.20276	34		
Among populations/within groups	46.879	0.34927	9.87		
Within populations	270.049	1.98566	56.13		
b) 5 populations: AR+UR+RS / SC/ SPS/SPC/ SPN+RJS				0.273	0.006
Among groups	82.416	0.77781	27.28	0.275	0.000
Among populations/within groups	11.264	0.08764	3.07		
Within populations	270.049	1.98566	69.65		
c) 3 populations:	270.045	1.56500	05.05		
AR+UR+RS/SC+SPS+SPC/ SPN+RJS				0.267	0.003
Among groups	71.441	77546	26.72		
Among populations/within groups	22.238	0.14102	4.86		
Within populations	270.049	1.98566	68.42		
d) 4 populations:					
AR+UR+RS / SC+SPS/ SPC/ SPN+RJS				0.247	0.004
Among groups	74.891	0.70157	24.69		
Among populations/within groups	18.789	0.15391	5.42		
Within populations	270.049	1.98566	69.89		
e) 3 populations:				0.000	0.004
AR+UR+RS/SC+SPS/SPC+SPN+RJS	65.054	0.04007	22.50	0.226	0.001
Among groups	65.051	0.64267	22.58		
Among populations/within groups	28.629	0.22159	7.77		
Within populations	270.049	1.98566	69.65		
f) 4 populations: AR/UR+RS / SC+SPS+ SPC/ SPN+RJS				0.224	0.015
Among groups	78.016	0.61155	22.36		
Among populations/within groups	15.664	0.13764	5.03		
Within populations	270.049	1.98566	72.61		

186 Analysis of organochlorine compounds

PCBs were the predominant compounds in franciscanas from both RJS and SPC, followed by DDTs, Mirex and HCB. For specimens from RJS (n=9), median values were: 6706 ng.g⁻¹ lip for \sum PCB, 2117 ng.g⁻¹ lip for \sum DDT, 46 ng.g⁻¹ lip for Mirex and 27 ng.g⁻¹ lip for HCB. For SPC (n=25), median values were: 4955 ng.g⁻¹ lip for \sum PCB, 1787 ng.g⁻¹ lip for \sum DDT, 45 ng.g⁻¹ lip for Mirex and 41 ng.g⁻¹ lip for HCB. This pattern was similar to that reported in other studies with cetaceans from Brazilian waters (e.g. Alonso *et al.* 2010, Lailson-Brito *et al.* 2011, Santos-Neto *et al.* 2014).

Despite the similar pattern, individuals from FMAIIa (RJS) and FMAIIb (SPC) presented significantly different profiles for organochlorine compounds accumulation (Wilks Lambda = 0.22; F (13.20) = 5.28; p = 0.0005). Amongst the 24 variables input in the model, 13 were accepted (*pp*-DDD, *pp*-DDT, *pp*-DDE, Mirex, HCB, PCB 28, PCB 52, PCB 49, PCB 74, PCB 99, PCB 132, PCB 105 e PCB 177). The variables with stronger effect in group separation along canonical axis 1 were: positively – PCB 28 and PCB 49; and negatively – Mirex and PCB 52.

The *Mahalanobis* square distance between the two groups was 16.62 (F= 5.28, p=0.0004). Classification was correct for 97.05% of the samples, and only one individual collected in RJS had a profile similar to that from SPC.

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Analysis of δ^{13} C and δ^{15} N

The values of carbon and nitrogen stable isotopes were different between the two areas (RJS and SPC; Table 3). Franciscanas from FMAIIa showed 13C-depleted values compared to specimens from FMAIIb (-16.5%; Student's T-Test, t = -3.9, p=0.0007, n=7 e n=20, respectively). Also Franciscanas from FMAIIa displayed higher mean δ 15N value (14.4 ‰) than FMAIIb specimens (13.8 ‰; t = -2.3, p = 0.03).

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Table 3: Mean (\pm SD) δ^{13} C and δ^{15} N values in franciscana muscle from FMAIIa and FMAIIb, southeastern Brazil.

area	n	δ13C (‰)		δ15N (‰)		
		mean±SD	min/max	mean±SD	min/max	
FMAIIa (RJS)	7	-17.1±0.4	-17.6/-16.6	14.4±0.9	13.3/15.5	
FMAIIb (SPC)	20	-16.5±0.3	-17.1/-15.6	13.8±0.2	13.4/14.3	

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218 **DISCUSSION**

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The subdivision of FMAII was first suggested by Cunha *et al.* (2014), based on a smaller sample size. Considering the relevance of that finding for the conservation of franciscanas, the authors proposed the recognition of FMAIIa and FMAIIb, until further analyses could be performed. The present study aimed to test that hypothesis using a larger sample size for genetic analyses, as well as organic pollutants and stable isotope ratios data. All the analyses supported the genetic and ecological differentiation offranciscanas from FMAIIa and FMAIIb.

Genetic analyses confirmed that specimens from RJS and SPN do not belong to 227 the same population that inhabits the area from SPC to NSC. This conclusion was 228 supported by pairwise F_{ST} and Φ_{ST} , and AMOVA analyses. Consistent separation of the 229 two areas in all analyses was also reported by Cunha et al. (2014), but in the present 230 231 study the AMOVA scenario with higher support showed the separation of FMAIIa from the others, but not the differentiation of FMAIIb from FMAIII and FMAIV. It means 232 that the separation of RJS and SPN (FMAIIa) had higher support then other accepted 233 divisions. 234

235 Organochlorine compounds profiles and stable carbon and nitrogen isotopes provided evidence that franciscanas from RJS and SPC belong to two ecological 236 populations, further justifying the recognition of FMAIIa and FMAIIb. The 237 discriminant function analysis suggests that franciscanas from the RJS and SPC feed on 238 239 different areas (Aguilar 1987, Lailson et al. 2010), since food intake is the main entry 240 route for organochlorine compounds in cetaceans (Ross et al. 2000, Hansen et al. 2004). Likewise, stable isotopes also showed that franciscanas from RJS and SPC have 241 different foraging habitats, with specimens from RJS having ¹³C-depleted values. $\delta^{13}C$ 242 values point to two distinct ecological populations in FMAIIa and FMAIIb areas. 243

244 The abundance of franciscanas in FMAII was estimated through aerial surveys during 2008/2009 (Sucunza et al. 2020). The estimates were 1,915 (CV = 0.32) for 245 FMAIIa, and 4,353 (CV = 0.24) for FMAIIb. The authors used data on by-catch to 246 calculate a minimum mortality in FMAII at that time. The by-catch data, despite 247 248 incomplete, resulted in an unsustainable mortality of 4.4 to 7.3% of the census size (Sucunza et al. 2020). Taking into account that the available data is from a decade ago 249 and that since then abundance has probably declined while by-catch did not decrease, 250 the conservation of franciscanas in FMAII is at risk, even disregarding other threats that 251 252 exist in the area, such as habitat degradation caused by human developments, chemical 253 pollution and noise. With the recognition of those two FMA, research can be designed to assess demographic information for each, enabling the evaluation of their 254 vulnerability and the definition of proper conservation actions. 255

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